

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Attorney Docket No. 011687)

In the Application of:	)	
	)	
Casey Chung	)	Group Art Unit: TBA
	)	
Serial No. TBA	)	Examiner: TBA
	)	
Filed: February 17, 2004	)	
	)	
For: System of, and Method for,	)	
Indirect Lighting	)	

**INFORMATION DISCLOSURE STATEMENT**

Asst. Commissioner of Patents  
Washington, DC 20231

Pursuant to 37 C.F.R. § 1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. § 1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed PTO Form 1449.

This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(b) within three months of this application's filing date or before the mailing date of a first Office Action on the merits. Accordingly, there is no fee due for filing this Information Disclosure Statement.

Under 37 C.F.R. § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the cited references are, or are considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.S./

## **REFERENCES**

### **U.S. References**

U.S. Patent No. 2002/0172046 A1, issued 11/21/2003, Perlo, et al.  
U.S. Patent No. 6,416,201, issued 7/9/2002, Strand, et al.  
U.S. Patent No. 6,305,824 B1, issued 10/23/2001, Holten  
U.S. Patent No. 5,570,525, issued 11/5/1996, Paglieri, et al.  
U.S. Patent No. 5,105,345, issued 4/14/1992, Katoh, et al.  
U.S. Patent No. 4,516,197, issued 5/7/1985, Yonkers  
U.S. Patent No. 4,418,378, issued 11/29/1983, Johnson  
U.S. Patent No. 3,628,007, issued 12/14/1971, Gunnar, et al.  
U.S. Patent No. 1,217,420, issued 2/27/1917, Davis, et al.  
U.S. Patent No. DES 397,819, issued 9/1/1998, Thornton, Jr.  
U.S. Patent No. 5,988,836, issued 11/23/1999, Swarens  
U.S. Patent No. 6,561,678 B2, Issued 5/13/2003, Loughrey  
U.S. Patent No. 5,708,460, issued 1/20/1998, Lester  
U.S. Patent No. 5,967,648, issued 10/19/1999, Barnes, II et al.  
U.S. Patent No. 4,884,178, issued 11/28, 1989, Roberts  
U.S. Patent No. 4,833,575, issued 5/23/1989, Hamilton  
U.S. Patent No. 4,390,930, issued 6/28/1983, Herst, et al.  
U.S. Patent No. 4,388,675, issued 6/14/1983, Lewin  
U.S. Patent No. 5,097,401, issued 3/17, 1992, Eppler  
U.S. Patent No. 5,278,738, issued 1/11/1994, Illes  
U.S. Patent No. 4,974,137, issued 11/27/1990, Evans, Jr., et al.  
U.S. Patent No. 6,266,136, issued 7/24/2001, Ramer, et al.  
U.S. Patent No. 6,238,077, issued 5/29/2001, Ramer, et al.  
U.S. Patent No. 6,088,091, issued 7/11/2000, Ramer, et al.  
U.S. Patent No. 6,064,061, issued 5/16/2000, Ramer, et al.  
U.S. Patent No. 6,043,873, issued 3/28/2000, Ramer, et al.  
U.S. Patent No. 5,914,487, issued 6/22/1999, Ramer, et al.  
U.S. Patent No. 5,733,028, issued 3/31/1998, Ramer, et al.  
U.S. Patent No. 5,967,652, issued 10/19/1999, Ramer, et al.

### **Foreign References**

JP 03-190004, published 20.08.1991, Shin Etsu Polymer Co., Ltd.

**Other Prior Art**

Renaissance Lighting Wall Washer CMH Series Specifications  
LAM Performance Indirect, HPD Specifications  
Alera Lighting IPR Low Profile Indirect Parabolic Reflector Specifications 2-1C  
Finelite, Inc., Finelite Series 14 – Technical Sheet  
Alera Lighting Technical Installation Data IPRL 2-1.1C  
Alera Lighting Indirect IPR Indirect Parabolic Reflector 2-2C

Respectfully submitted,

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Dated: February 17, 2004

By: 

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	TBA
Filing Date	February 17, 2004
First Named Inventor	Casey Chung
Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	011687.00036

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-2002/0172046 A1	11/21/2002	Perlo, et al.	
		US- 6,416,201	7/9/2002	Strand, et al.	
		US- 6,305,824 B1	10/23/2001	Holten	
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		US- 3,628,007	12/14/1971	Gunnar, et al.	
		US- 1,217,420	2/27/1917	Davis, et al.	
		US- DES 397,819	9/1/1998	Thornton, Jr.	
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		US- 5,709,460	1/20/1998	Lester	
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		US 4,974,137	11/27/1990	Evans, Jr, et al.	
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		US 5,733,028	3/31/1998	Ramer, et al.	
		US 5,967,652	10/19/1999	Ramer, et al.	

**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		JP 03-190004	20.08.1991	Shin Etsu Polymer Co Ltd.		

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Sheet

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First Named Inventor	Casey Chung
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	011687.00036

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

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		<del>Parascan Lighting IPRL Indirect IPR Series 2.1.1.0</del>	
		no date	
		<del>CAI Performance Indirect IPB Specifications</del>	
		no date	
		<del>Alera Lighting IPRL Low Profile Indirect Parabolic Reflector Specifications 2.1.1.0</del>	
		no date	
		<del>Finelite, Inc., Finelite Series 14 Technical Sheet</del>	
		no date	
		<del>Alera Lighting Technical Installation Data IPRL 2.1.1.0</del>	
		no date	
		<del>Alera Lighting Indirect IPB Indirect Parabolic Reflector 2.2.C</del>	
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